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# ROCK QUALITY DESIGNATION (RQD)

# ROCK CORE EVALUATION



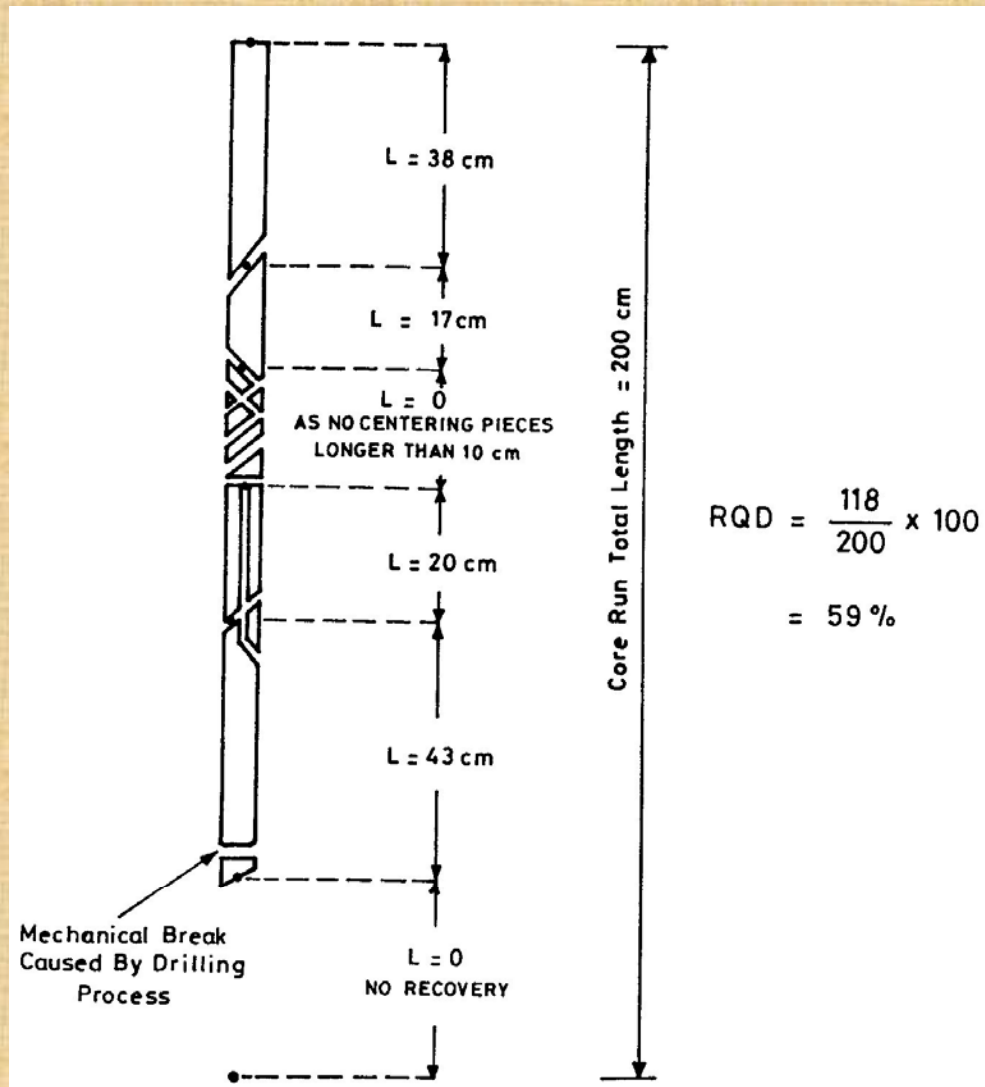
## ROCK QUALITY DESIGNATION (RQD)

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- Developed by Don U. Deere in 1964
- Significantly expanded by Deere, et al in 1967
- A useful index for determining rock quality from core recovery
- $$\text{RQD} = \frac{\text{Length of "sound" core} > 10 \text{ cm (4 in)}}{\text{Total Core Run Length}} \times 100$$
- Core measured along centerline
- NX or NQ size core should be used

# RQD MEASUREMENTS



# CORRELATION BETWEEN RQD AND ROCK MASS QUALITY (DEERE, 1964)



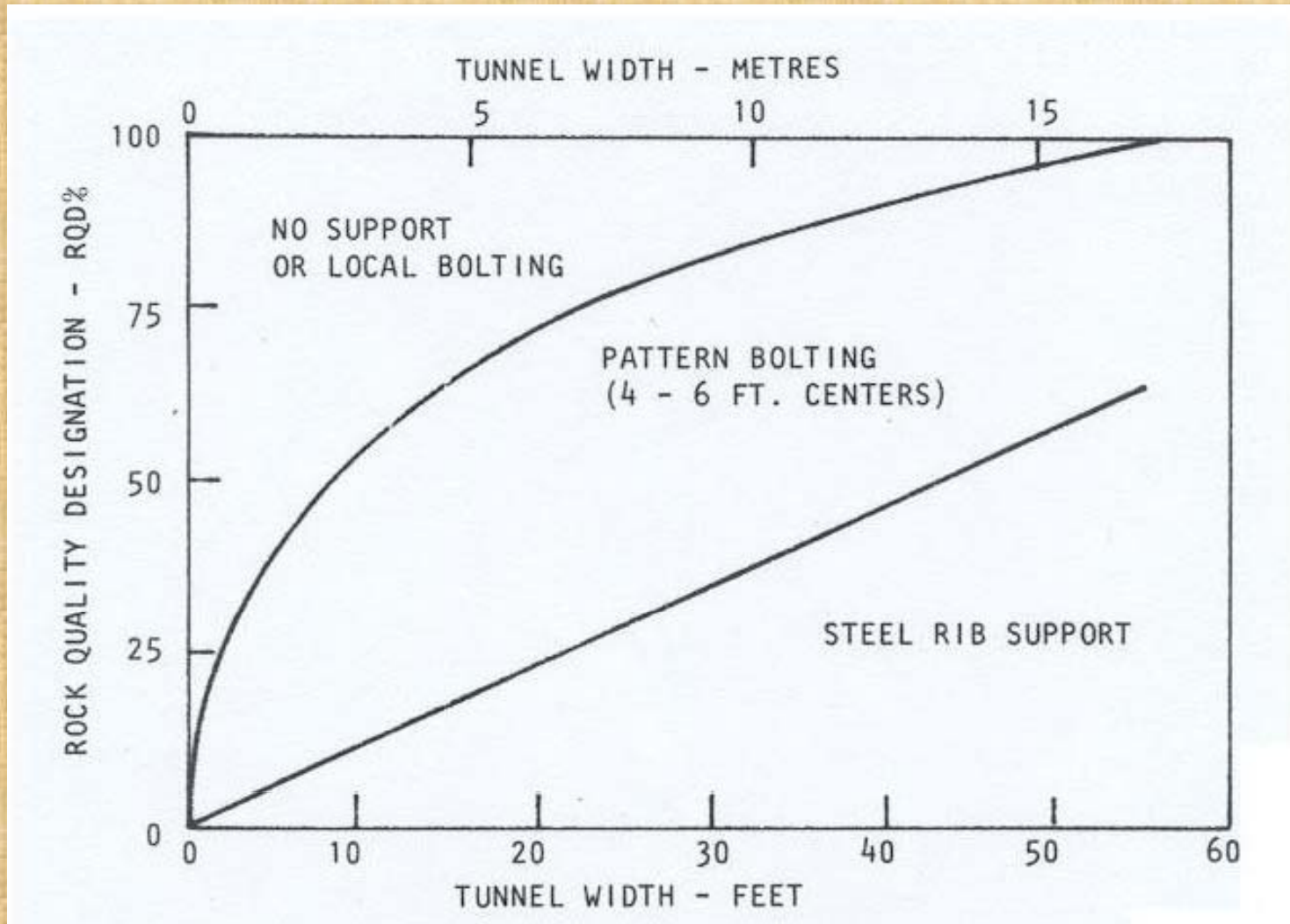
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<b>RQD(%)</b>	<b>Rock Quality</b>
<b>&lt;25</b>	<b>Very poor</b>
<b>25-50</b>	<b>Poor</b>
<b>50-75</b>	<b>Fair</b>
<b>75-90</b>	<b>Good</b>
<b>90-100</b>	<b>Excellent</b>

# ROCK CORE DETERIORATION WITH TIME



# PROPOSED USE OF RQD FOR ROCK SUPPORT (MERRITT, 1972)



# GROUND SUPPORT BY RQD FOR 6m TO 12m DIAMETER (DEERE, ET AL, 1970)



Rock Quality	Construction Method	Steel Sets		Rock Bolt		Shotcrete		Additional Supports
		Weight of Steel Sets	Spacing	Spacing of Pattern Bolt	Additional Requirements	Total Thickness (cm)		
						Crown	Sides	
Excellent RQD > 90	Boring Machine	Light	None to Occasional	None to Occasional	Rare	None to Occasional	None	None
	Drilling & Blasting	Light	None to Occasional	None to Occasional	Rare	None to Occasional	None	None
Good RQD 75 to 90	Boring Machine	Light	Occasional to 1.5 to 1.8 m	Occasional to 1.5 to 1.8 m	Occasional mesh and straps	Local Application 5 to 7.5 cm	None	None
	Drilling & Blasting	Light	1.5 to 1.8 m	1.5 to 1.8 m	Occasional mesh and straps	Local Application 5 to 7.5 cm	None	None
Fair RQD 50 to 75	Boring Machine	Light to Medium	1.5 to 1.8 m	1.2 to 1.8 m	Mesh and straps as required	5 to 10 cm	None	Rock Bolts
	Drilling & Blasting	Light to Medium	1.2 to 1.5 m	0.9 to 1.5 m	Mesh and straps as required	10 cm or more	10 cm or more	Rock Bolts



# GROUND SUPPORT BY RQD FOR 6m TO 12m DIAMETER (DEERE, ET AL, 1970)(Cont.)



Rock Quality	Construction Method	Steel Sets		Rock Bolt		Shotcrete		Additional Supports
		Weight of Steel Sets	Spacing	Spacing of Pattern Bolt	Additional Requirements	Total Thickness (cm)		
						Crown	Sides	
Poor RQD 25 to 50	Boring Machine	Medium Circular	0.6 to 1.2 m	0.9 to 1.5 m	Anchorage may be hard to obtain. Considerable mesh and straps required	10 to 15 cm	10 to 15 cm	Rockbolt as required (1.2 to 1.8 m center to center)
	Drilling & Blasting	Medium to Heavy circular	0.2 to 1.2 m	0.6 to 1.2 m	as above	15 cm or more	15 cm or more	as above
Very Poor RQD < 25	Boring Machine	Medium to Heavy circular	0.6 m	0.6 to 1.2 m	Anchorage may be impossible. 100 % mesh and straps required	15 cm or more on whole section		Medium sets as required
	Drilling & Blasting	Heavy circular	0.6 m	0.9 m	as above	15 cm or more on whole section		Medium sets as required
Very Poor Squeezing and Swelling	Both methods	Very Heavy circular	0.6 m	0.6 to 0.9 m	Anchorage may be impossible. 100 % mesh and straps required	15 cm or more on whole section		Heavy sets as required

## LIMITATIONS ON RQD



- 
- **Does not account for the existence, thickness and strength characteristics of joint coating or filling material**
  - **Does not account for joint roughness or interlock**
  - **Can be significantly influenced by angle of boring**
  - **“Sound” rock can be very subjective**
  - **Core may deteriorate between drilling and logging**
  - **100 mm core length may be arbitrary for some excavations, e.g.  
NORAD  
Icelandic Power Chamber**
  - **What RQD really means**

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# ROCK MASS RATING (RMR)

## ROCK MASS RATING (RMR)



- 
- Originally developed by Z.T. (Dick) Bieniawski in 1973
  - Also called “Geomechanics Classification of Rock Masses”
  - Incorrectly called the “CSIR rating” or “CSIR Classification”
  - Currently based on 351 case histories
  - Modified several times – must state reference
  - “not the answer to all design problems”

# RMR SYSTEM (GEOMECHANICS CLASSIFICATION)

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Based on six geotechnical parameters:

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- **Uniaxial compressive strength of rock**
- **Rock quality designation (RQD)**
- **Spacing of discontinuities**
- **Condition of discontinuities**
- **Groundwater conditions**
- **Orientation of discontinuities**

## STRENGTH OF INTACT ROCK MATERIAL (BIENIAWSKI, 1979)



Qualitative Description	Compressive Strength (MPa)	Point Load Strength (MPa)	Rating
Exceptionally strong	>250	8	15
Very strong	100 – 250	4-8	12
Strong	50 – 100	2-4	7
Average	25 – 50	1-2	4
Weak	10 – 25	Use of Uniaxial compressive strength is preferred	2
Very weak	2 – 10	-do-	1
Extremely weak	1 – 2	-do-	0

Note: At compressive strength less than 0.6 Mpa, many rock material would be regarded as soil

## DRILL CORE QUALITY – RQD (BIENIAWSKI, 1979)



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Description	Rating
90 – 100 %	20
75 – 90 %	17
50 – 75 %	13
25 – 50 %	8
< 25%	3

## SPACING OF DISCONTINUITIES (BIENIAWSKI, 1979)



Description	Spacing (m)	Rating
Very wide	>2	20
Wide	0.6 – 2	15
Moderate	0.2 – 0.6	10
Close	0.06 – 0.2	8
Very Close	<0.06	5



## CONDITION OF DISCONTINUITIES (BIENIAWSKI, 1979)



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<b>Description</b>	<b>Rating</b>
<b>Very rough and unweathered</b>	<b>30</b>
<b>Rough and slightly weathered</b>	<b>25</b>
<b>Slightly rough and moderately to highly weathered</b>	<b>20</b>
<b>Slickensided wall rock surface or 1-5mm thick gouge or 1-5mm wide continuous discontinuity</b>	<b>10</b>
<b>5mm thick soft gouge, 5mm wide continuous discontinuity</b>	<b>0</b>

## GROUND WATER CONDITION (BIENIAWSKI, 1979)



<b>Inflow per 10m tunnel Length (litre/min.)</b>	<b>none</b>	<b>&lt;10</b>	<b>10.25</b>	<b>25-125</b>	<b>&gt;125</b>
<b>Joint water pressure / major principal stress</b>	<b>0</b>	<b>0-0.1</b>	<b>0.1-0.2</b>	<b>0.2-0.5</b>	<b>&gt;0.5</b>
<b>General description</b>	<b>completely dry</b>	<b>damp</b>	<b>wet</b>	<b>dripping</b>	<b>flowing</b>
<b>Rating</b>	<b>15</b>	<b>10</b>	<b>7</b>	<b>4</b>	<b>0</b>

## ADJUSTMENT FOR JOINT ORIENTATION (BIENIAWSKI, 1979)



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Joint Orientation Assessment for	Very Favorable	Favorable	Fair	Unfavorable	Very Unfavorable
<b>Tunnels</b>	<b>0</b>	<b>-2</b>	<b>-5</b>	<b>-10</b>	<b>-12</b>
<b>Raft Foundation</b>	<b>0</b>	<b>-2</b>	<b>-7</b>	<b>-15</b>	<b>-25</b>
<b>Slopes</b>	<b>0</b>	<b>-5</b>	<b>-25</b>	<b>-50</b>	<b>-60</b>

## ROCK MASS CLASSES DETERMINED FROM TOTAL RATINGS



Rating	100-81	80-61	60-41	40-21	<20
Class no.	I	II	III	IV	V
Description	Very good rock	Good rock	Fair rock	Poor rock	Very poor rock

## MEANING OF ROCK MASS CLASSES (BIENIAWSKI, 1974)



Class no.	I	II	III	IV	V
Average stand-up time	20y for 15m span	1yr for 10m span	1wk for 5m span	10h for 2.5m span	30min for 1 m span
Cohesion of rock mass (kPa)	>400	300 – 400	200 – 300	100 – 200	<100
Friction angle of rock mass (deg)	>45	35 – 45	25 – 35	15 – 25	<15

# DESIGN PARAMETERS & ENGINEERING PROPERTIES OF ROCK MASS (BIENIAWSKI, 1979 & BIS CODE)



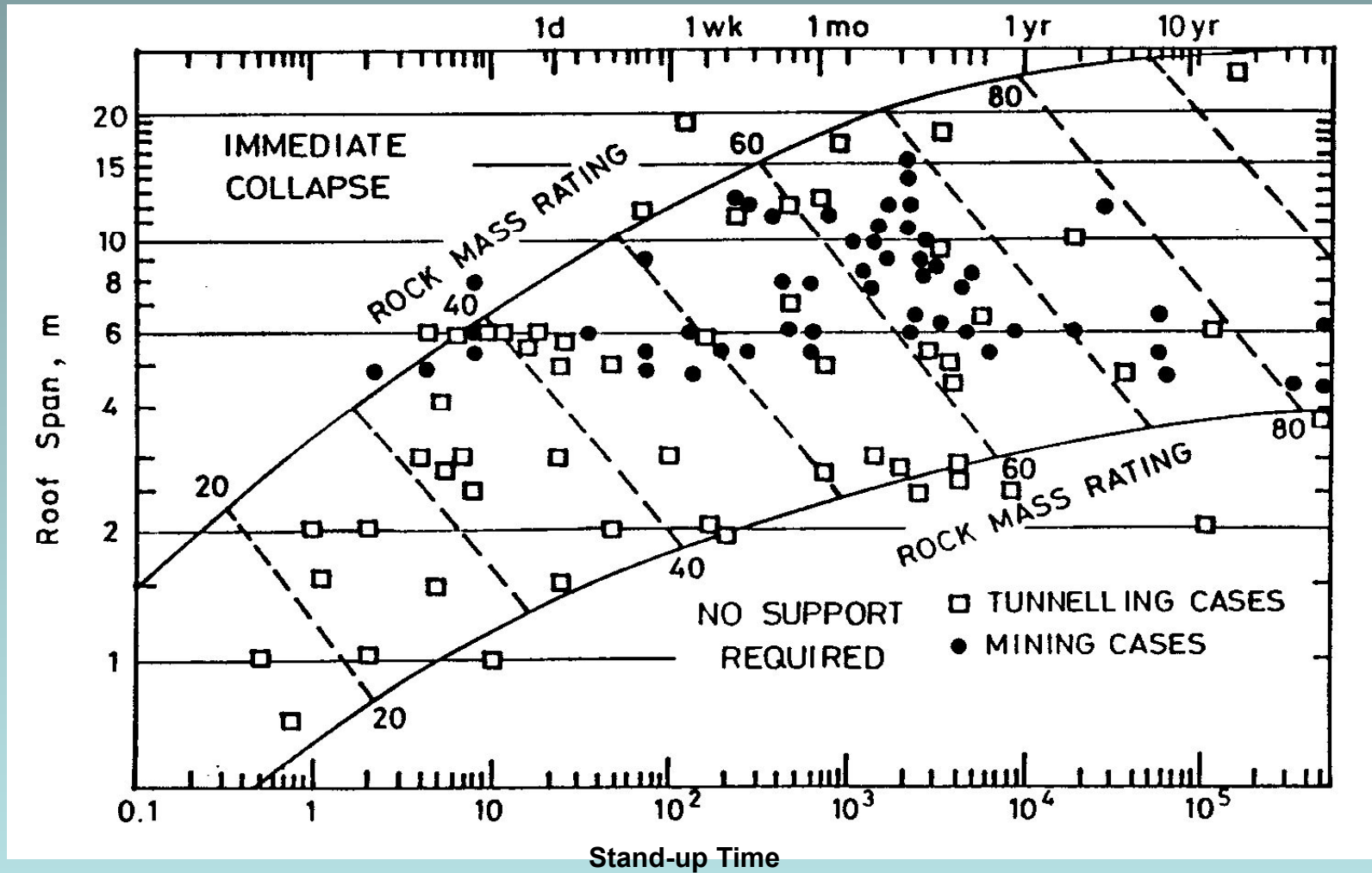
S. No.	Parameter/Properties of Rock Mass	Rock Mass Rating (Rock Class)				
		100-81(I)	80-61 (II)	60-41 (III)	40-21 (IV)	<20 (V)
1.	Classification of rock mass	Very good	Good	Fair	Poor	Very poor
2.	Average stand-up time	10 years for 15 m span	6 months for 8 m span	1 week for 5 m span	10 hrs. for 2.5 m span	30 min. for 1 m span
3.	Cohesion of rock mass (MPa)	>0.4	0.3-0.4	0.2-0.3	0.1-0.2	<0.1
4.	Angle of internal friction	>45°	35°-45°	25°-35°	15°-25°	15°

# GUIDELINES FOR EXCAVATION AND SUPPORT OF ROCK TUNNELS IN ACCORDANCE WITH THE ROCK MASS RATING SYSTEM (BIENIAWSKI, 1989)



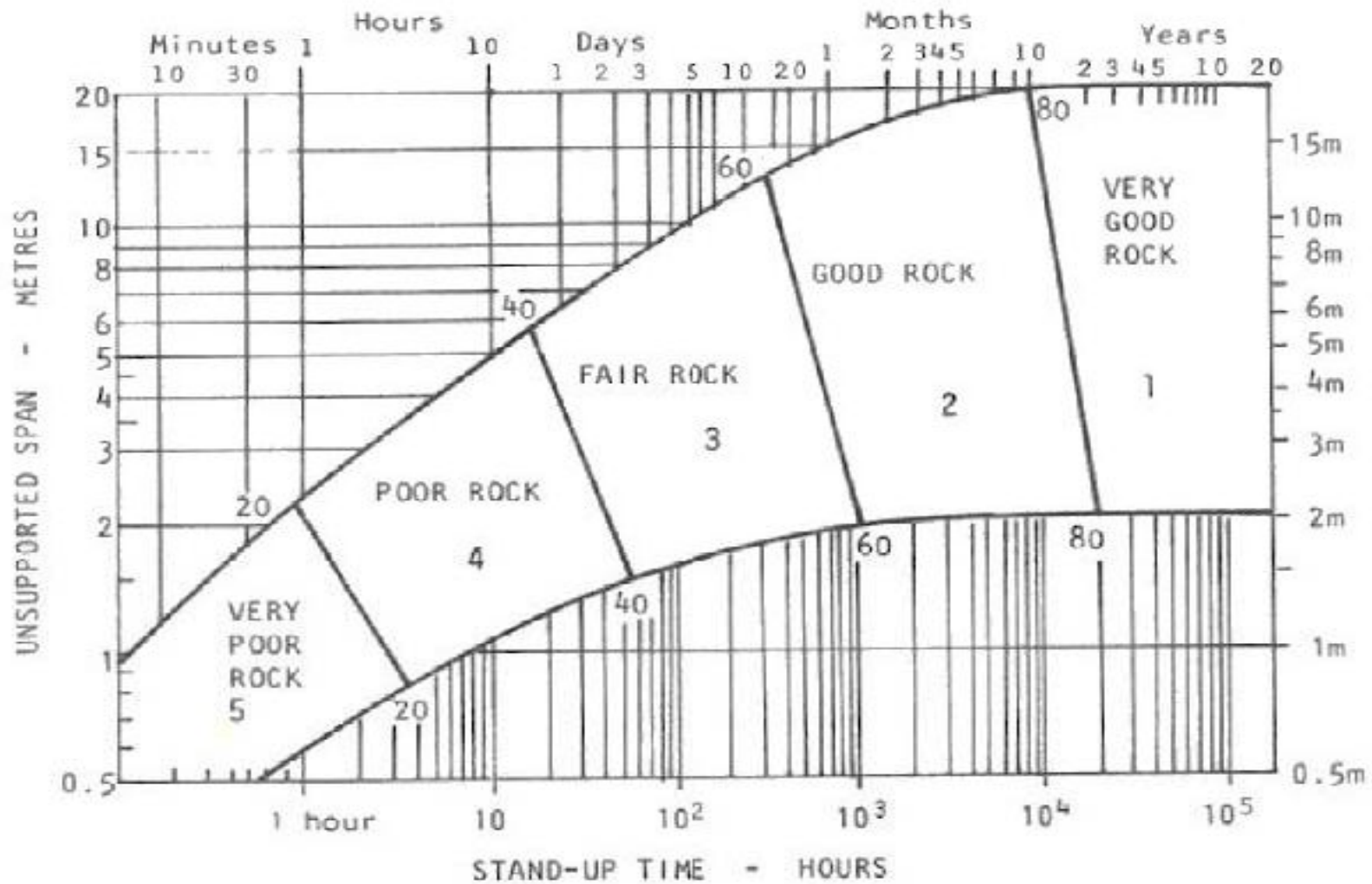
Rock Mass Class	Excavation	Supports		
		Rock bolts (20 mm dia fully Grouted)	Shotcrete	Steel sets
Very good rock RMR=81-100	Full face. 3m advance	Generally, no support required except for occasional spot bolting		
Good rock RMR=61-80	Full face. 1.0-1.5m advance	Locally, bolts in crown 3m long, spaced 2.5m, with occasional wire mesh	50mm in crown where required	None
Fair rock RMR=41-60	Heading and bench. 1.5 - 3m advance in heading. Commence support after each blast	Systematic bolts 4m long Spaced 1.5-2m in crown and walls with wire mesh in crown	50-100 mm in crown and 30 mm in sides	None
Poor rock RMR21-40	Top heading and bench 1.0-1.5m in heading	Systematic bolts 4-5m long, spaced 1-1.5m w/ WWF	100-200mm in crown & 100mm on walls	Lt to med ribs spaced 1.5m as required
Very poor Rock RMR < 20	Mult. drifts 0,5-1.5 m advance on heading Shotcrete ASAP	Systematic bolts 5-6m long spaced 1-1.5m on crown and walls w/ WWF. Bolt invert	150-200mm in crown, 150mm on walls, 50mm on face	Med to Hvy ribs @ 0.75m w/ steel lagging. Close invert

# RMR APPLIED TO STAND-UP TIME (BIENIAWSKI, 1989)

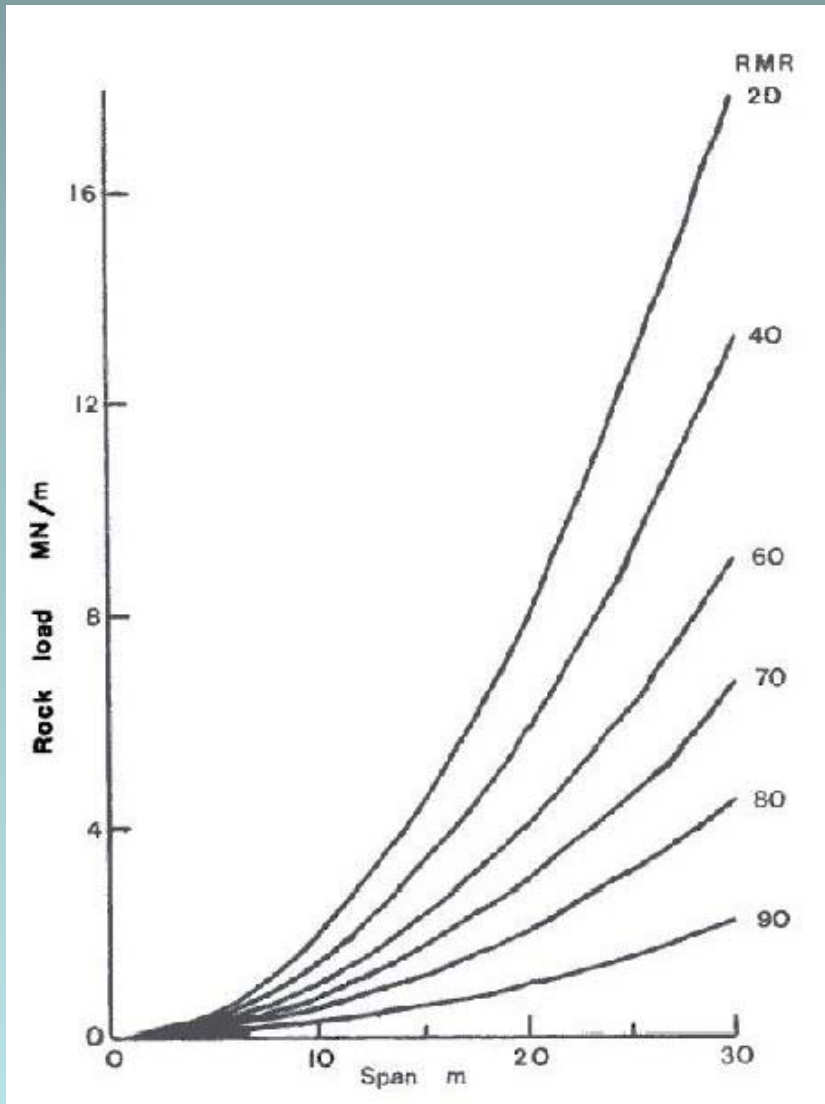




# ROCK MASS RATING AND STAND-UP TIME (BIENIAWSKI, 1974)



# CORRELATION BETWEEN SPAN, ROCK LOAD AND RMR, (BIENIAWSKI, 1989)



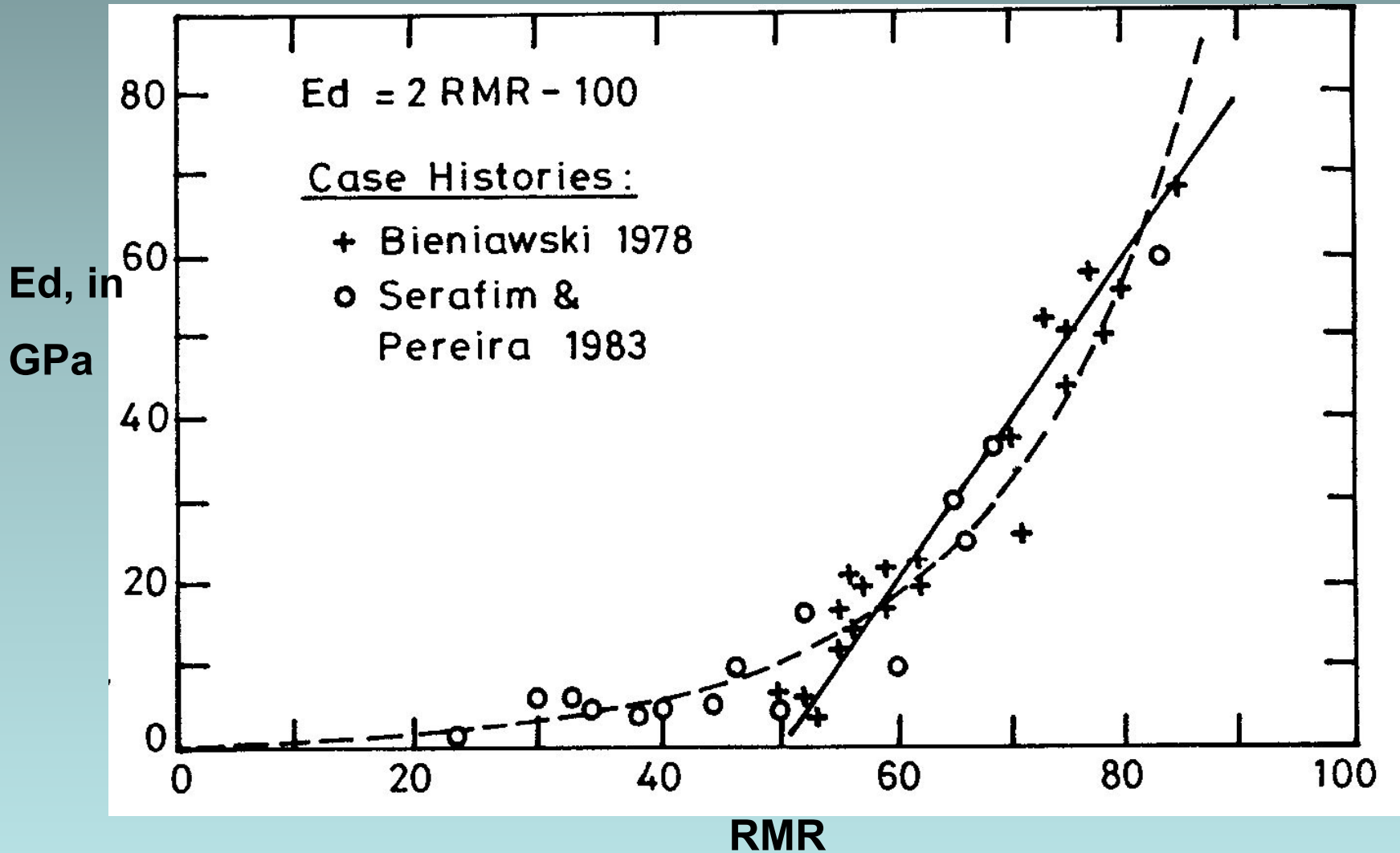
## METHOD OF EXCAVATION BASED ON RMR (ABDULLATIF AND CRUDEN, 1983)

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RMR Value	Excavation Method
< 30	Digging
31 - 60	Ripping
61 – 100	Blasting

# CORRELATION BETWEEN $E_d$ AND RMR (BIENIAWSKI, 1984)



# **Q-SYSTEM**

# Q-SYSTEM OF ROCK MASS CLASSIFICATION

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**Developed by Nick Barton, Lien and Lund, 1974**

**Also known as the Norwegian Geotechnical Institute (NGI)  
Classification**

**Originally based on 212 case histories; updated to now include  
more than 1500 case histories**

**Modified in 1993 by Barton and Grimstad to include ground  
support systems not available in 1974**

**“An engineering system facilitating the design of tunnel supports”**

## BASIS OF Q-SYSTEM



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**A numerical assessment of the rock mass quality based on seven parameters:**

- **RQD**
- **Number of joint sets**
- **Roughness of the most unfavorable joint or discontinuity**
- **Degree of alteration of filling along the weakest joint**
- **Water inflow**
- **Stress condition**
- **Equivalent dimension – a function of size and purpose of the excavation**

## Q-SYSTEM FORMULA



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The first six parameters are grouped into three quotients to give the overall rock mass quality Q:

$$Q = \frac{(RQD)}{J_n} \times \frac{(J_r)}{J_a} \times \frac{(J_w)}{SRF}$$

Where:

RQD = rock quality designation

J<sub>n</sub> = joint set number

J<sub>r</sub> = joint roughness number

J<sub>a</sub> = joint alteration number

J<sub>w</sub> = joint water reduction number

SRF = stress reduction factor



## JOINT SET NUMBER $J_n$ (BARTON ET AL, 1974)



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Conditions	$J_n$
A. Massive, none or few joints	0.5-1.0
B. One joint set	2
C. One joint set plus random	3
D. Two joint sets	4
E. Two joint sets plus random	6
F. Three joint sets	9
G. Three joint sets plus random	12
H. Four or more joint sets, random, heavily jointed, "sugar cube", etc.	15
H. Crushed rock, earth like	20

Note: (i) For intersections use  $(3.0.J_n)$   
(ii) For portals use  $(2.0.J_n)$

# JOINT ROUGHNESS NUMBER $J_r$ (BARTON ET AL , 1974)



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## Conditions

	$J_r$
<i>(a) Rock wall contact and (b) Rock wall contact before 10cm shear</i>	
<b>A. Discontinuous joint</b>	<b>4</b>
<b>B. Rough or irregular, undulating</b>	<b>3</b>
<b>C. Smooth, undulating</b>	<b>2.0</b>
<b>D. Slickensided, undulating</b>	<b>1.5</b>
<b>E. Rough or irregular, planar</b>	<b>1.5</b>
<b>F. Smooth, planar</b>	<b>1.0</b>
<b>G. Slickensided, planar</b>	<b>0.5</b>
<i>(c) No rock wall contact when sheared</i>	
<b>H. Zone containing clay minerals thick enough to prevent rock wall contact</b>	<b>1.0</b>
<b>I. Sandy, gravelly, or crushed zone thick enough to prevent rock wall contact</b>	<b>1.0</b>

## RATING DUE TO JOINT WATER ( $J_w$ )



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<b>Classification of joint water</b>		<b><math>J_w</math></b>	<b>Approx. water pressure (kg/cm<sup>2</sup>)</b>
<b>A.</b>	<b>Dry excavations or minor inflow</b>	<b>1.0</b>	<b>&lt;1</b>
<b>B.</b>	<b>Medium inflow or pressure</b>	<b>0.66</b>	<b>1-2.5</b>
<b>C.</b>	<b>Large inflow or high pressure with unfilled joints</b>	<b>0.5</b>	<b>2.5-10</b>
<b>D.</b>	<b>Large inflow or high pressure, outwash of joint fillings</b>	<b>0.33</b>	<b>2.5-10</b>
<b>E.</b>	<b>Exceptionally high inflow, decaying with time</b>	<b>0.2-0.1</b>	<b>&gt;10</b>
<b>F.</b>	<b>Exceptionally high inflow, without noticeable decay</b>	<b>0.1-0.05</b>	<b>&gt;10</b>

**JOINT ALTERATION NUMBER  $J_a$**   
**(BARTON ET AL, 1974)**



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<b>Conditions</b>	<b><math>\Phi_r</math></b> <b>(degree)</b>	<b><math>j_r</math></b>
<b>A. Tightly healed, hard, non-softening, impermeable filling, i.e., quartz or epidote</b>	<b>0.75</b>	
<b>B. Unaltered joint walls, surface staining only</b>	<b>25-35</b>	<b>1.0</b>
<b>C. Slightly altered joint walls, Non-softening mineral coatings, sandy particles, clay-free disintegrated rock, etc.</b>	<b>25-30</b>	<b>2.0</b>
<b>D. Silty or sandy clay coatings, small clay fraction (non-softening)</b>	<b>20-25</b>	<b>3.0</b>
<b>E. Softening or low-friction clay mineral coatings, i.e., kaolinite, mica, chlorite, talc, gypsum, and graphite, etc.</b>	<b>8-16</b>	<b>4.0</b>

# JOINT ALTERATION NUMBER $J_a$ (BARTON ET AL, 1974)



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Conditions	$\Phi_r$ (degree)	$J_a$
<i>(b) Rock wall contact before 10 cm shear</i>		
F. Sandy particles, clay-free disintegrated rock	25-30	4.0
G. Strongly over-consolidated, non-softening clay mineral fillings	16-24	6.0
H. Medium or low over-consolidation, softening, clay mineral fillings	12-16	8.0
I. Swelling clay fillings, i.e., montmorillonite	6-12	8-12

# JOINT ALTERATION NUMBER $J_a$ (BARTON ET AL, 1974)



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Conditions	$\Phi_r$ (degree)	$J_a$
<i>(c) No rock wall contact when sheared</i>		
<b>J. Zones or bands of disintegrated or crushed rock</b>	<b>6-24</b>	<b>8-12</b>
<b>L. Zones or bands of silty or sandy clay, small clay, 5 fraction (non-softening)</b>	<b>5</b>	
<b>M. Thick continuous zones or bands of clay</b>	<b>6-24</b>	<b>13-20</b>

Note: (i) Values of  $\Phi_r$  are intended as an approximate guide to the mineralogical properties of the alteration products.

# STRESS REDUCTION FACTOR, SRF (BARTON ET AL, 1974 AND GRIMSTAD AND BARTON, 1993)



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	<b>Conditions</b>	<b>SRF</b>
(a)	<i>Weakness zones intersecting excavation, which may cause loosening of rockmass when tunnel is excavated</i>	
A.	<b>Multiple occurrences of weakness zones containing clay or chemically disintegrated rock</b>	<b>10.0</b>
B.	<b>Single-weakness zones containing clay or chemically disintegrated rock (depth <math>\leq 50</math> m)</b>	<b>5.0</b>
C.	<b>Single-weakness zones containing clay or chemically disintegrated rock (depth <math>&gt; 50</math>m)</b>	<b>2.5</b>
D.	<b>Multiple-shear zones in competent rock (clay-free)</b>	<b>7.5</b>
E.	<b>Single shear zones in competent rock (clay-free) (depth <math>\leq 50</math>m)</b>	<b>5.0</b>
F.	<b>Single-shear zones competent rock (clay-free) (depth of <math>&gt; 50</math>m)</b>	<b>2.5</b>
G.	<b>Loose open joints, heavily jointed or “sugar cube”, etc.</b>	<b>5.0</b>

# STRESS REDUCTION FACTOR SRF (BARTON ET AL, 1974 AND GRIMSTAD AND BARTON, 1993)



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Conditions	SRF
<i>(b) Competent rock, rock stress problems</i>	
<b>H. Low stress, near surface open joints</b>	<b>2.5</b>
<b>J. Medium stress, favorable stress condition</b>	<b>1.0</b>
<b>K. High stress, very tight structure</b>	<b>0.5-2.0</b>
<b>L. Moderate slabbing after &gt;1 hr in massive rock</b>	<b>5-50</b>
<b>M. Slabbing and rock burst after a few minutes, massive rock</b>	<b>50-200</b>
<b>N. Heavy rock burst and immediate deformations, massive rock</b>	<b>200-400</b>



# DESCRIPTION OF RANGES IN THE Q-SYSTEM



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<b>0.001-0.01</b>	<b>Exceptionally poor</b>
<b>0.01-0.1</b>	<b>Extremely poor</b>
<b>0.1-1</b>	<b>Very poor</b>
<b>1-4</b>	<b>Poor</b>
<b>4-10</b>	<b>Fair</b>
<b>10-40</b>	<b>Good</b>
<b>40-100</b>	<b>Very good</b>
<b>100-400</b>	<b>Extremely good</b>
<b>400-1000</b>	<b>Exceptionally good</b>

## EQUIVALENT DIMENSION, $D_e$ (BARTON ET AL, 1974)



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Equivalent dimension is defined as follows:

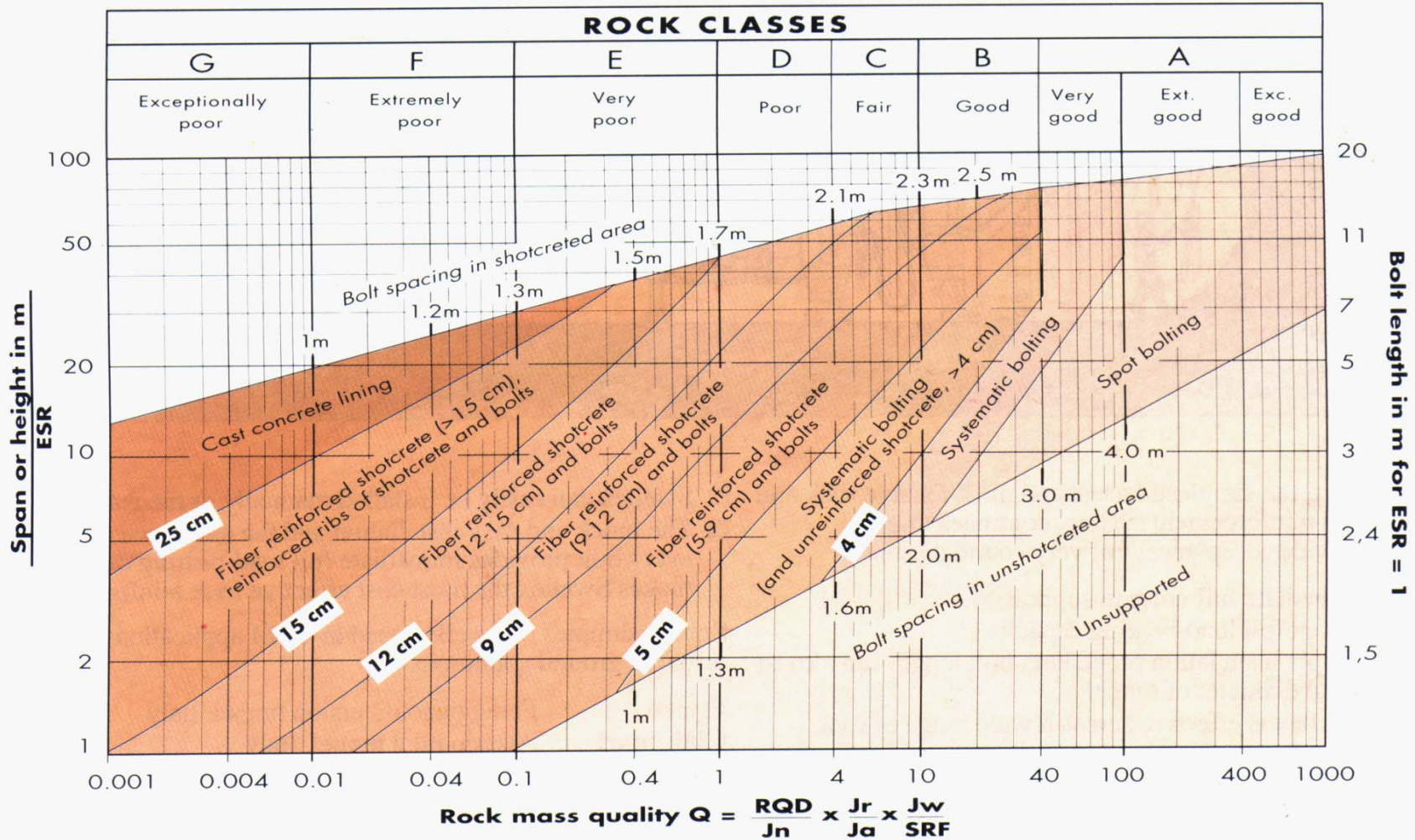
$D_e = \frac{\text{excavation span, diameter, or height,}}{\text{excavation to support ratio (ESR)}}$

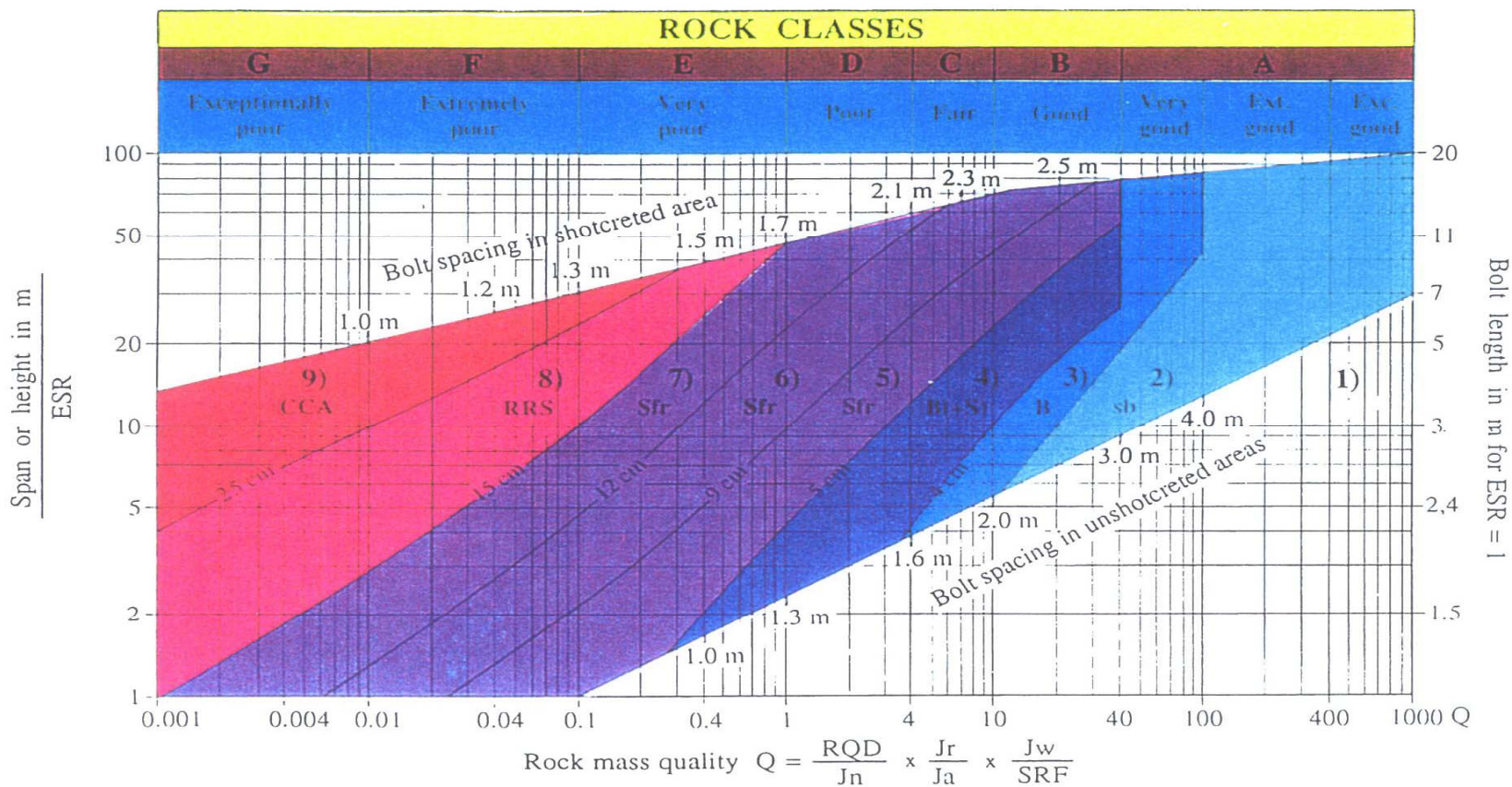
**VALUES OF EXCAVATION SUPPORT RATIO, ESR  
(BARTON ET AL, 1974)**



<b>S. No.</b>	<b>Type of Excavation</b>	<b>ESR</b>
1	Temporary mine openings, etc.	3 – 5 ?
2	Vertical shafts: (i) Circular section (ii) Rectangular / square section	2.5 ? 2.0 ?
3.	Permanent mine openings, water tunnels for hydro power, etc.	1.6
4.	Storage rooms, water treatment plants, minor road and railway tunnels, etc.	1.3
5.	Oil storage caverns, power stations, major road and railway tunnels, civil defense chambers, etc.	1.0
6.	Underground nuclear power stations, railway stations, sports and public facilities, factories, etc.	0.8 ?

# Q-SYSTEM GROUND SUPPORT

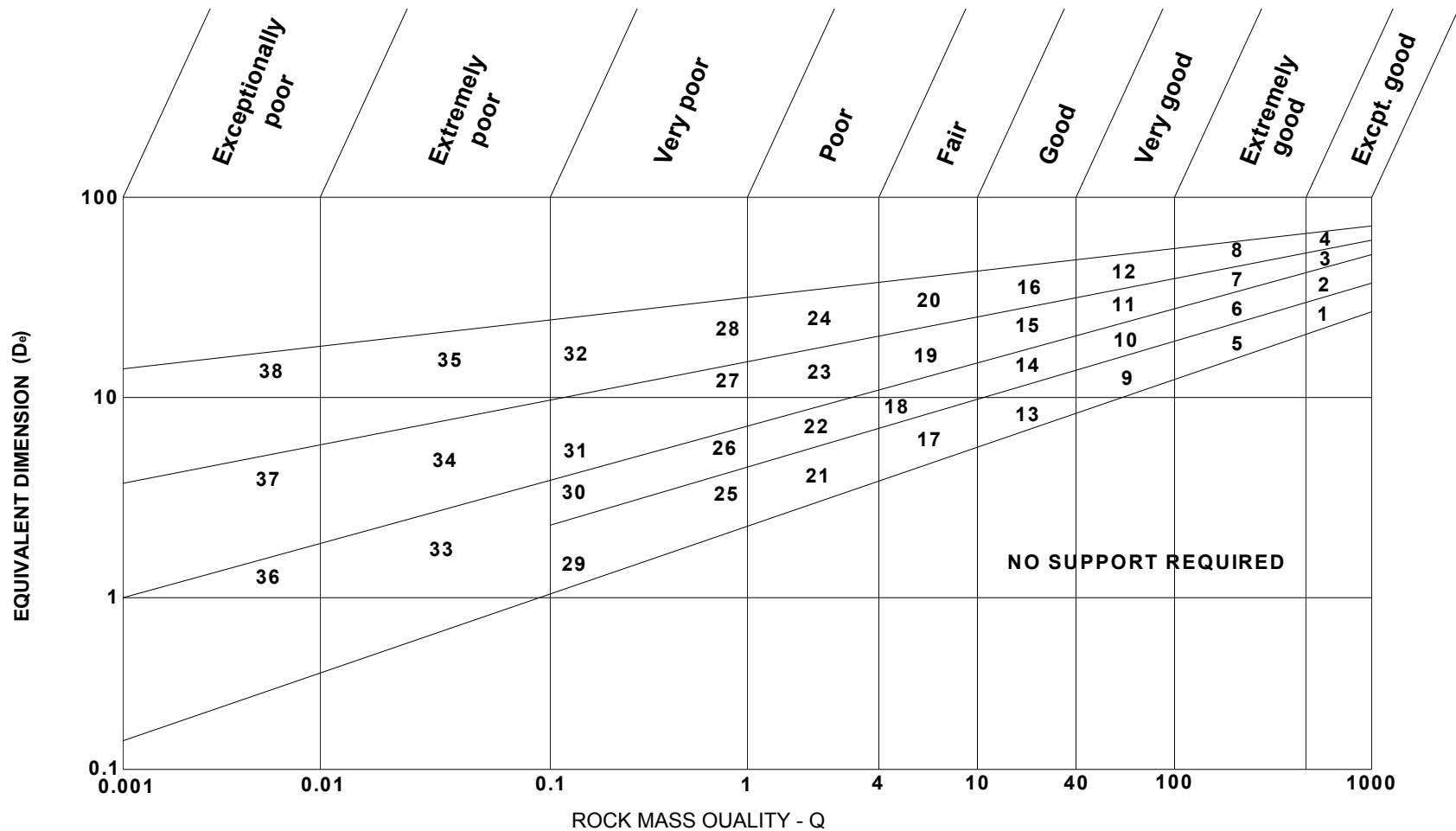




### REINFORCEMENT CATEGORIES

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>1) Unsupported</li> <li>2) Spot bolting, sb</li> <li>3) Systematic bolting, B</li> <li>4) Systematic bolting, (and unreinforced shotcrete, 4-10 cm), B(+S)</li> <li>5) Fibre reinforced shotcrete and bolting, 5-9 cm, Sfr+B</li> </ul> | <ul style="list-style-type: none"> <li>6) Fibre reinforced shotcrete and bolting, 9-12 cm, Sfr+B</li> <li>7) Fibre reinforced shotcrete and bolting, 12-15 cm, Sfr+B</li> <li>8) Fibre reinforced shotcrete, &gt;15 cm, reinforced ribs of shotcrete and bolting, Sfr, RRS+B</li> <li>9) Cast concrete lining, CCA</li> </ul> |
|--|---|

# Q-SYSTEM, EXCAVATION SUPPORT CHART (BARTON ET AL, 1974)



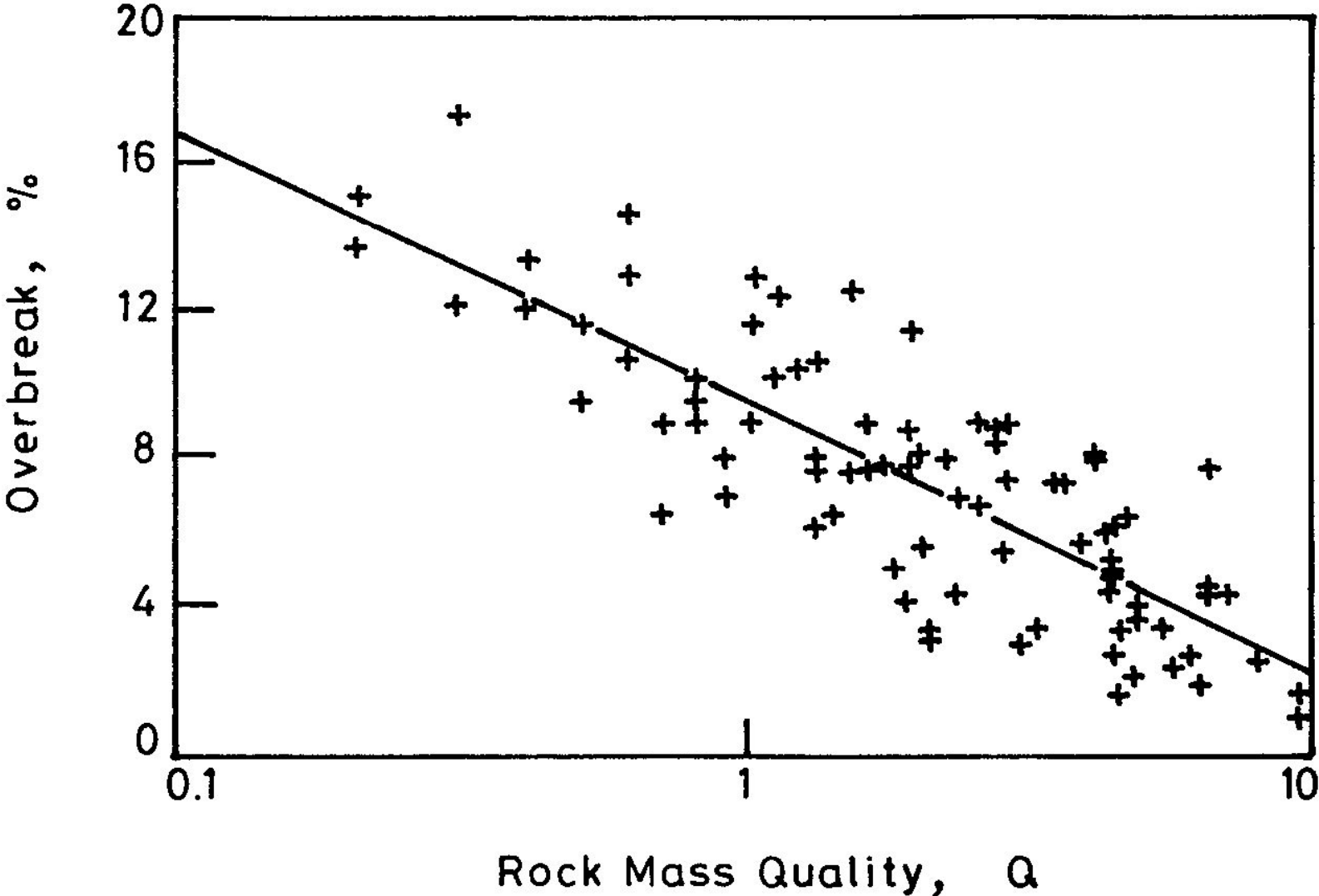
# SUMMARY OF COMPARISON BETWEEN RQD AND Q-SYSTEM



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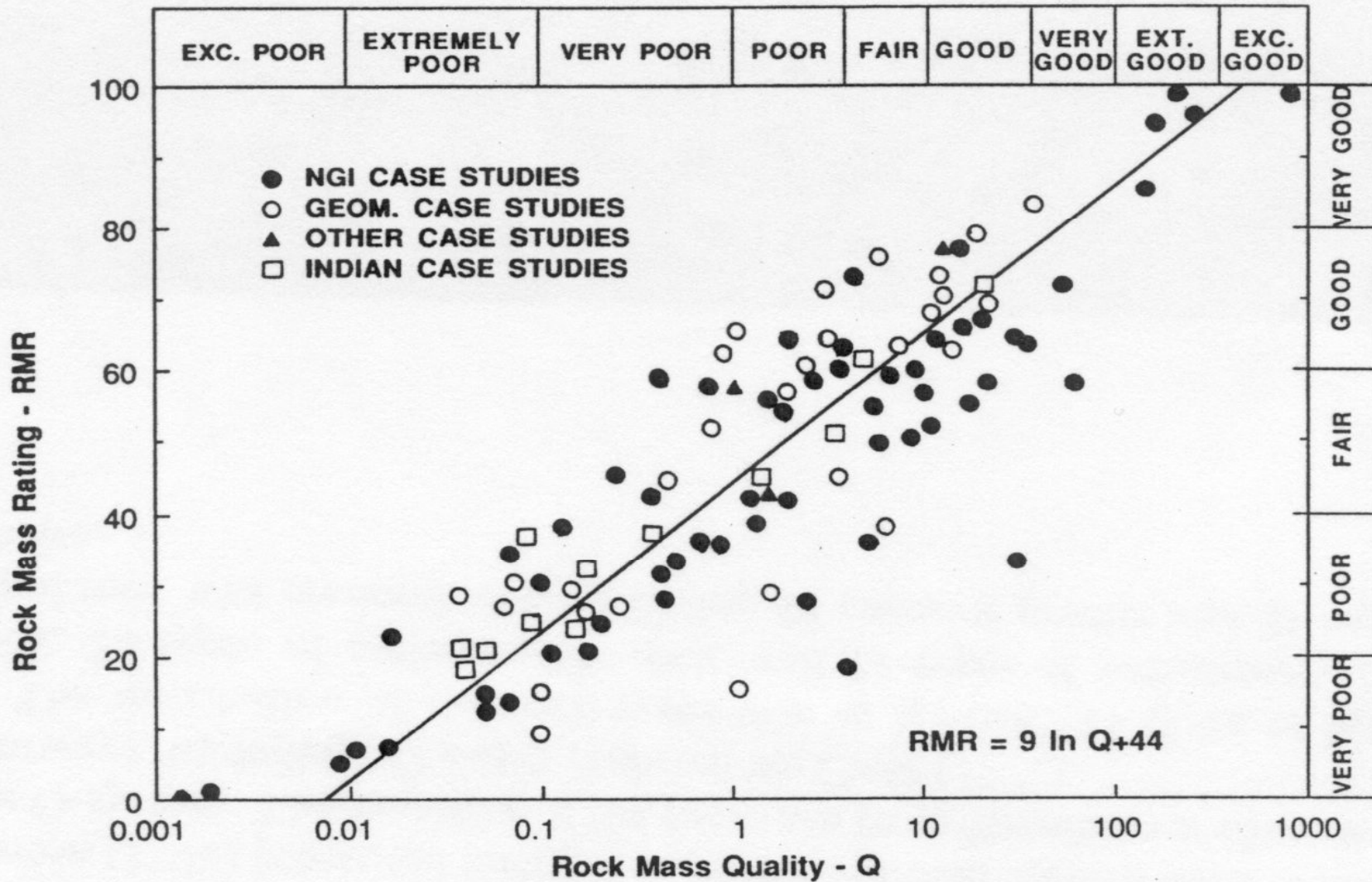
<u>Rock quality</u>	<u>Best</u>	<u>Medium</u>	<u>Poor</u>
$J_n$	3	4	9
$J_r$	2	2	1
$J_a$	1	2	4
$J_w$	1	1	0.66
SRF	1	1	2.5
RQD	100	90	70
Q	67	22	0.5

# Q-SYSTEM USED TO ESTIMATE TUNNEL OVERBREAK (FRANKLIN, 1993)

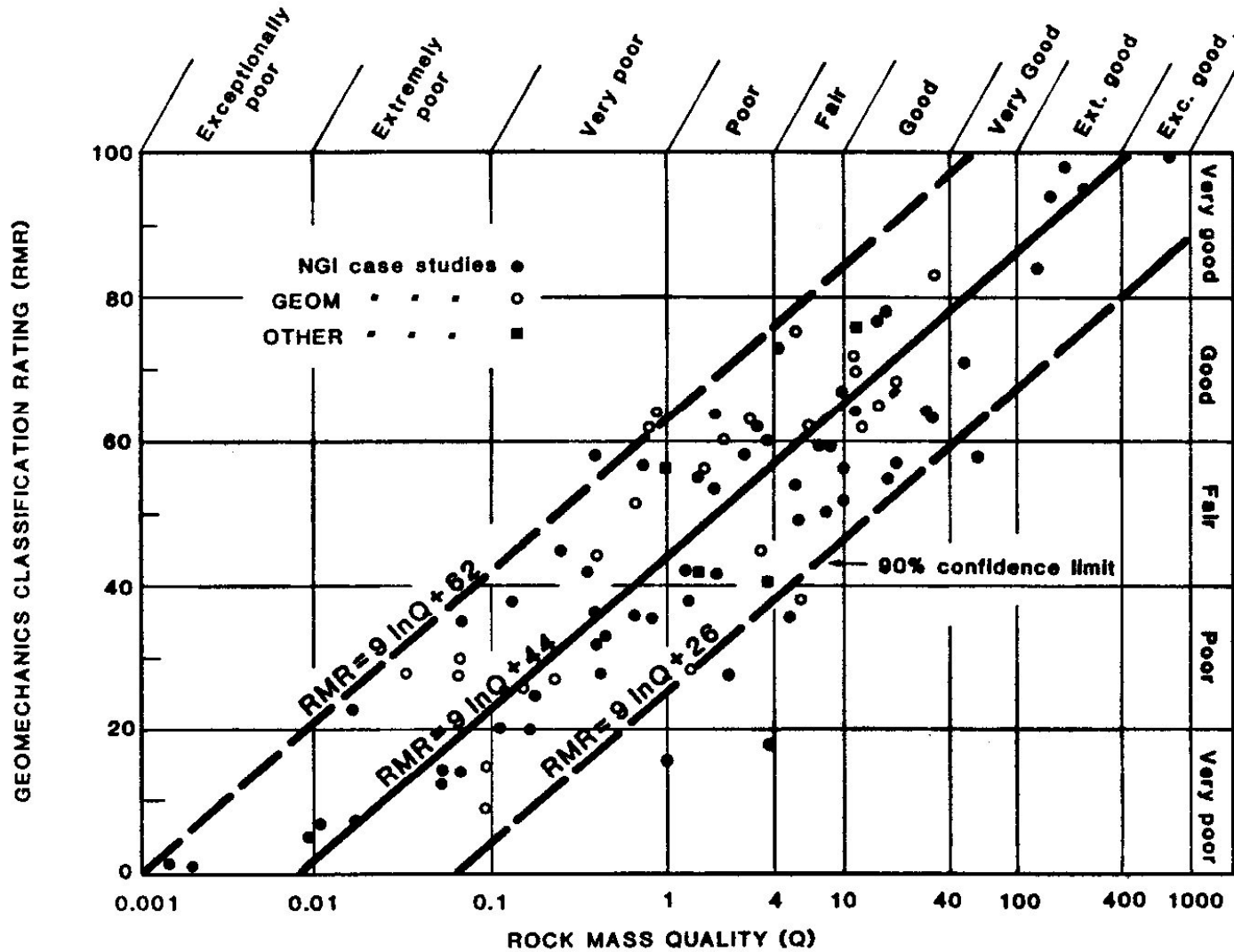




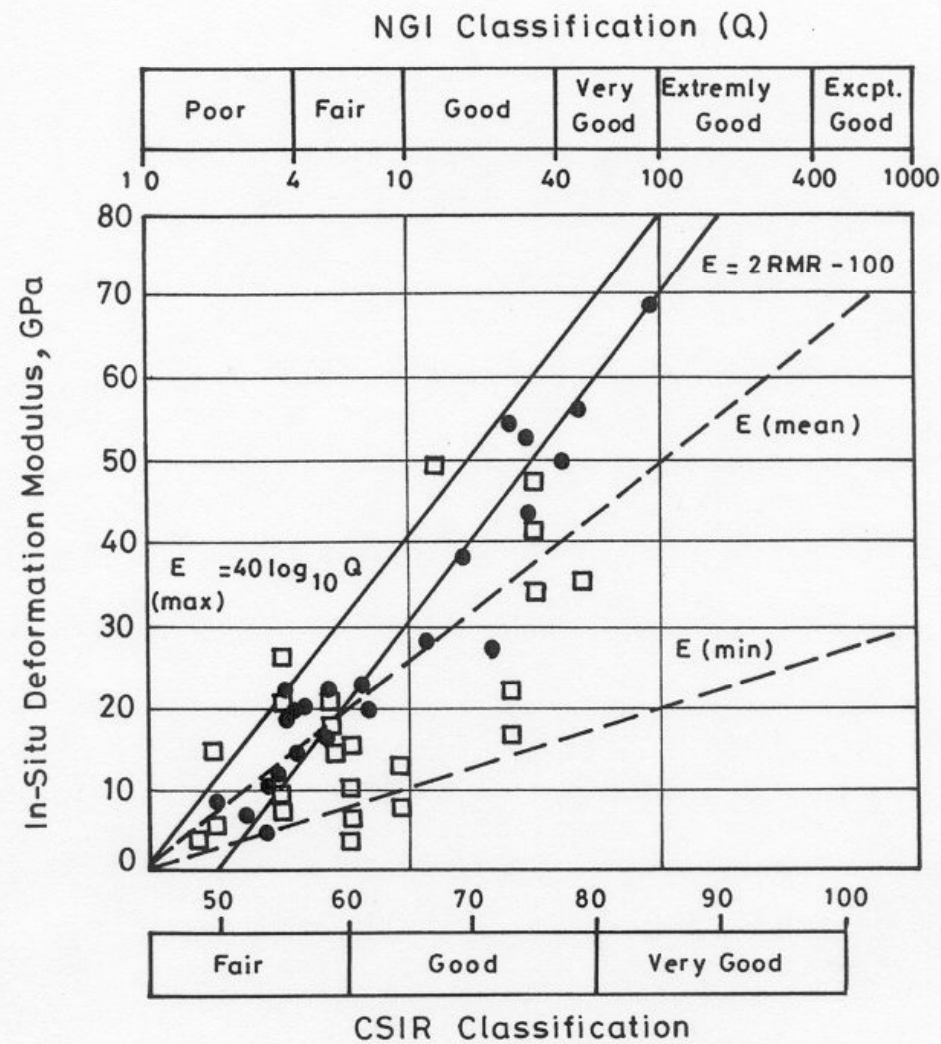
# COMPARISON OF RMR TO Q (SINGH AND GOEL, 1999)



# RMR AS COMPARED TO Q



# Q AND RMR USED TO ESTIMATE MODULUS OF DEFORMATION (BARTON, 1993)



# RECOMMENDATIONS ON THE USE OF ROCK MASS CLASSIFICATIONS (BIENIAWSKI, 1988, LACHEL, 2003)



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- **Do not use the classification schemes as rigid guidelines or a substitute for sound engineering judgment**
  - **Consider alternate classifications schemes**
  - **Classification schemes are not applicable to all situations**
  - **Classification schemes are based on successfully completed projects and as such are typically conservative**
  - **Generally, RMR and the Q-system appear to give better, more consistent results**
  - **Integrate classification schemes with analytical and observational approaches**

## **RECOMMENDATIONS ON THE USE OF ROCK MASS CLASSIFICATIONS (BIENIAWSKI, 1988, LACHEL, 2003) cont.**



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- **There is still a great deal of subjectivity in assigning values to the factors**
  - **Anisotropy and inhomogeneity must always be considered**
  - **At least two schemes should be applied and it may be possible to develop a site related approach**
  - **One classification will normally not be applicable to an entire site**
  - **The results of all analysis must be confirmed during construction**
  - **A complete record or database of experience with the classification system should be maintained**