

Influence of Geological Conditions on Design and Construction of Tunnels

The Geological Environment

•Tunnels are driven in virtually all the main rock types:

–Igneous Rocks

–Sedimentary Rocks

–Metamorphic Rocks

Igneous Rocks

•Crystalline nature of igneous rocks signifies high compressive strength with potential difficulties in excavation processes.

•High strength offers the advantage of marked competence which leads to decreased support requirements.

•The crystal or grain size of these rocks is dependent on the size and geological history of the igneous body.

–Localized and relatively thin intrusives are usually fine-grained and possess high strength and significant resistance to weathering by comparison to coarse grained types with similar mineral contents..

–Intrusive igneous bodies can range from granitic batholiths exhibiting surface exposures in excess of 100 km², to dykes, sills and structurally controlled intrusions of localized nature.

–The intrusives can act as a means of directing water into the path of the tunnel.

–Extrusives like rhyolite and basalt have cooled relatively rapidly producing a fine grained structure.

–Igneous rocks such as volcanic tuff and pumice, can be particularly weak and porous allowing easy excavation, they can be subject to rapid weathering and can give rise to significant groundwater problems.

Sedimentary Rocks

•Clastic types (adhered together as a compacted matrix or by chemical cement actions): sandstones and other silica constituted sediments, carbonates, iron oxides.

•Crystalline types: rock salt, gypsum, anhydrite, certain crystalline limestones.

□ Sedimentary rocks are generally weaker in strength than majority of igneous and metamorphics. Consequently, they do not generally pose difficulty by machine excavation methods.

□ Effects of stress and advanced weathering, weakening by the action of water can give rise to problems especially where such rocks contain appreciable amount of clay minerals.

□ Wide ranging values of permeability occur in such rocks with some clay beds being impervious to water, while other sedimentary types act as significant aquifers.

□ The banded/layered nature of these rocks needs special consideration in tunneling operations. Such bands exhibit marked differentials in strength and competence. This is of importance in allowing free spanning to occur together with appreciable standup times

Metamorphic Rocks

- Formed due to partial or complete recrystallization as a geological process at high temperatures/pressures.

- Exhibit foliation and schistosity.

- Rocks such as quartzite, marble, dolomitic marble and hornfels generally exhibit random distribution of mineral components, with minor, if any, foliation, and consequently display competent properties.

- Metamorphics containing abundant micaceous minerals result in well-defined planes of weakness allowing easy splitting, such as slate, phyllite, schists.

- Micaceous layers can be of significance in influencing the vulnerability of certain metamorphic rocks to weathering processes.

Rock Alteration

- Weathering produce rock alteration which is of major importance to tunneling.

- Weathering reduces the strength of the rocks and can extend to considerable depths by the action of groundwater movement.

- Biological activity and time are also important to the depth of weathering.

- Erosion removes weathered material, but deep channels and narrow valleys remain with weathered materials being well concealed from surface observation.

- Buried zones of weathered rocks may exist under glacial drift materials.

- Pockets of highly weathered rocks usually contain water and can be under appreciable hydrostatic pressure head.

- Consequently they can possess the ability to rapidly flow into an excavation if disturbed by underlying or adjacent tunneling activities.

General Influence of Geological Conditions

- When a tunnel is excavated, three types of geological conditions may be found that cause loss of strength and stability problems in a rock mass:

- Unfavorable orientation of discontinuities.

- Unfavorable orientation of stress with respect to the tunnel orientation.

- Water flowing inside the excavation along fractures, aquifers or karstified rocks.

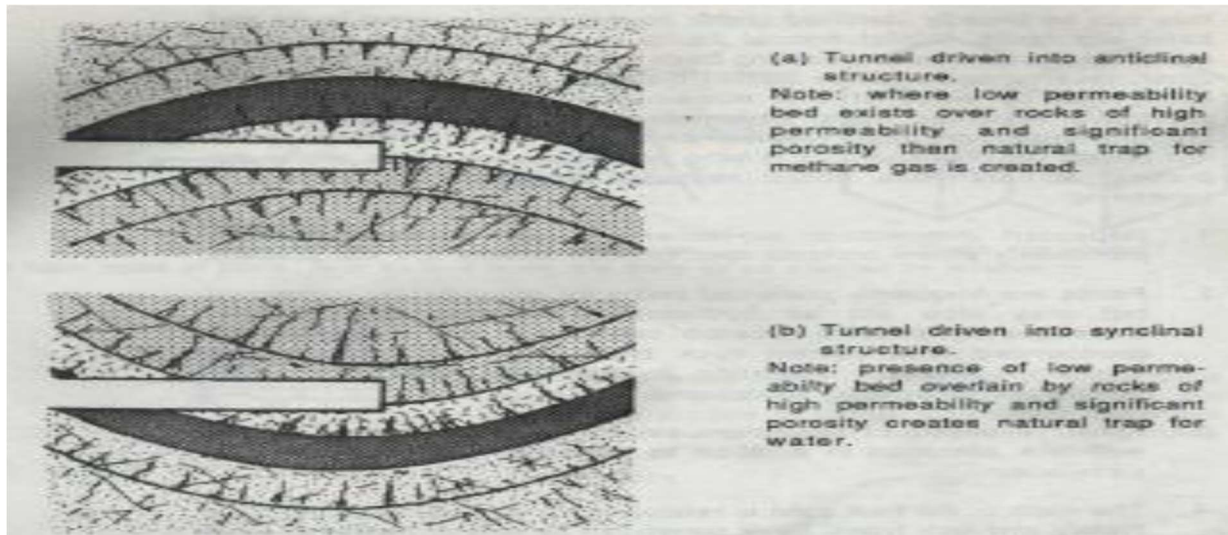
Rock Mass Deformation by Folding Action

- Folded strata allow natural traps to form which attract accumulation in significant quantities of natural gas, petroleum and water.

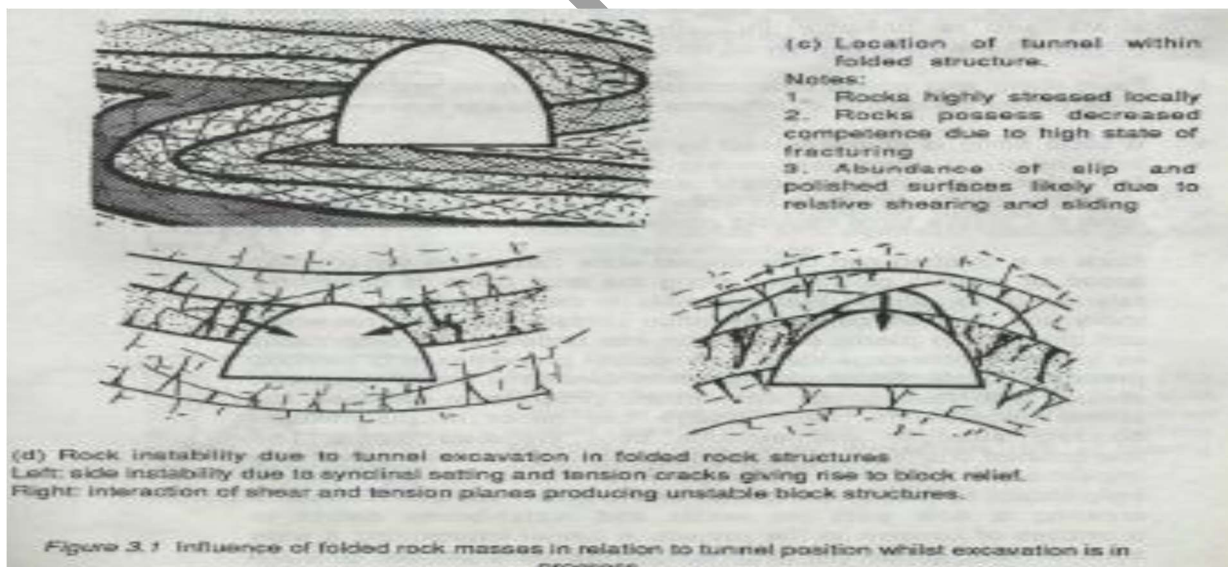
- Severely distorted folds are frequently accompanied by plastic behavior of rocks especially in the softer sediments and in metamorphic rocks.

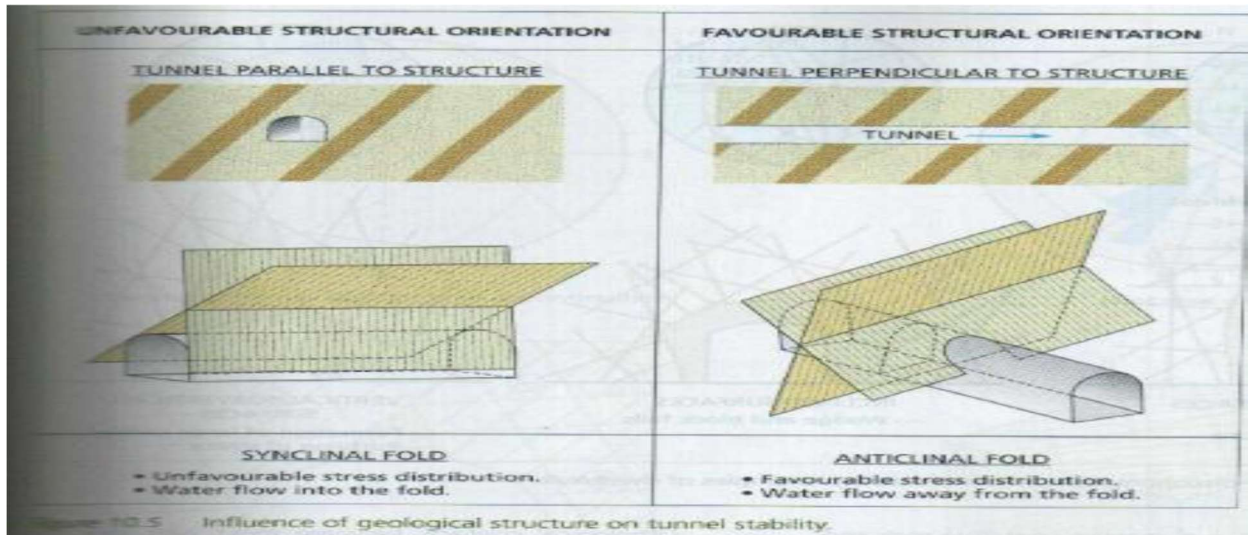
- Relative sliding between layers also occurs in flexural folding in strongly stratified structures.

- Opening of tension gashes or cracks in stronger rock formations in addition to shear development in the compressive zones of folds also occur.



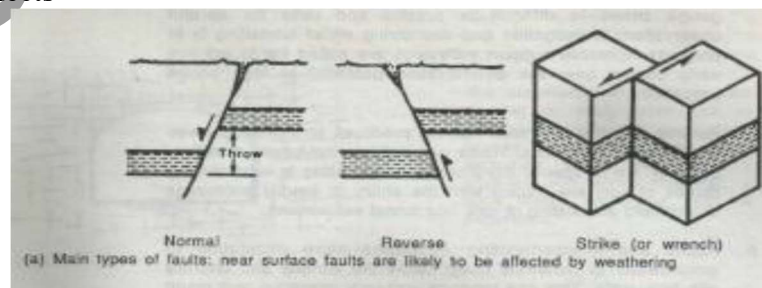
- Localized stress concentrations occur in association with folded rocks, and such stresses can cause bursting action during excavation of stronger and brittle rocks.
- Folded rocks represent zones of decreased competence with zones of intense fracturing and stress relief causing dislodgement of rocks in freshly exposed tunnel excavations





Faults: Characteristics and Influence on Tunnelling

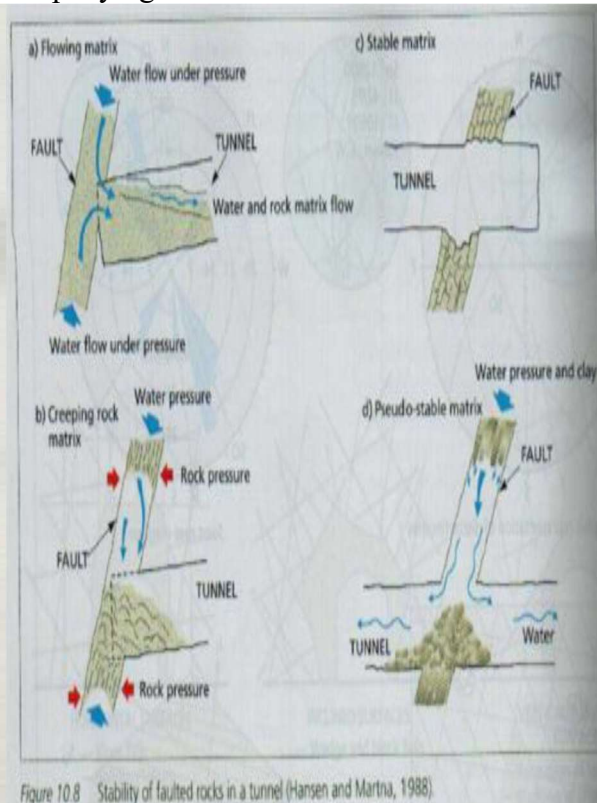
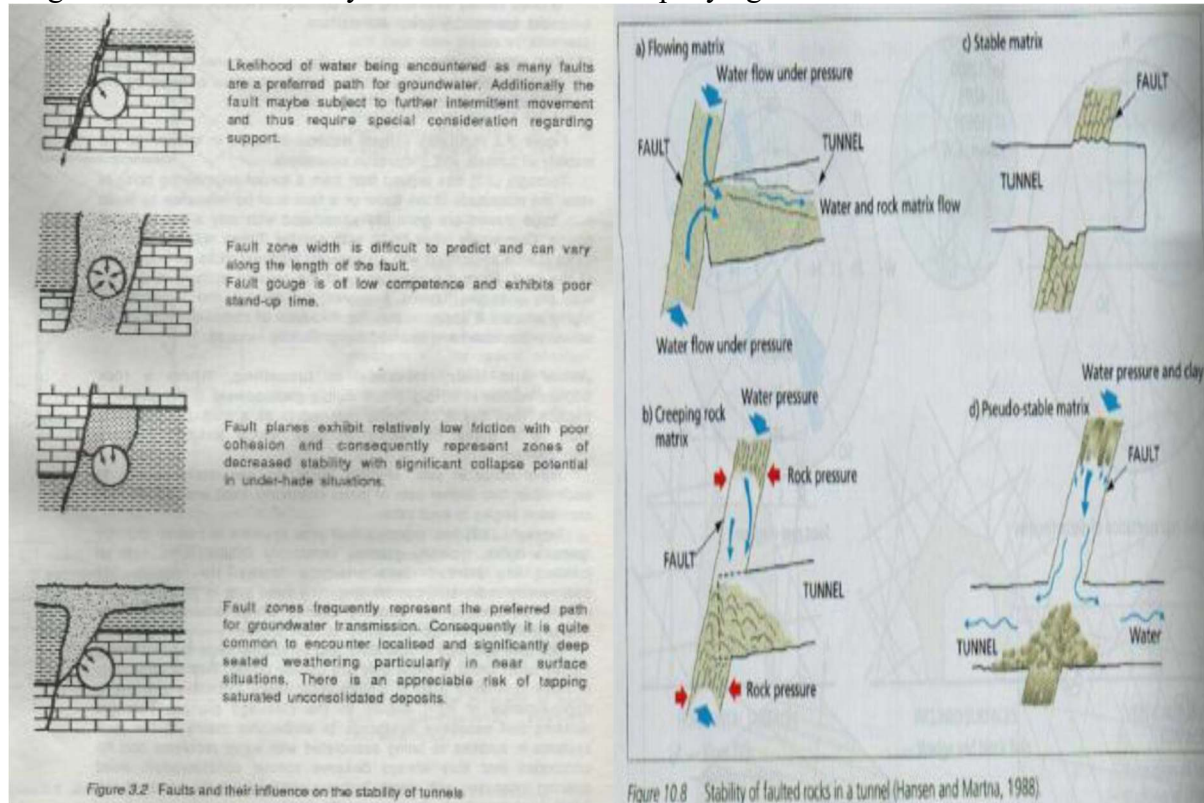
- Repeated intermittent movements occur at several sites, particularly where tectonic and igneous activity are still present.
- Faults are preferred paths for groundwater movement but also act as hydrological barriers.
- Frictional effects of movement along the fault plane can induce wall-rock alteration in addition to chemical reaction from water circulation.
- Fault zones can be tens of meters in width even where relatively minor displacement has occurred between strata.
- Fault filling and gouge properties differ quite markedly and often reflect the degree of influence of groundwater movement.
- Breccia filling is characterized by its fragmented nature derived from relatively competent rocks; such filling can exhibit voids but often contains fine materials.
- Water assists in the breakdown of some rocks and the fault gouge can often contain clay minerals which can give rise to plastic deformation into U/G excavations due to creep and swelling pressure effects



- Relative movement of rock masses produces scratches, grooves and polished interfaces. These can indicate movement direction, but are of special significance to tunneling in representing

planes of very low friction with the ability to encourage detachment and sliding of rock into tunnel excavations.

- Faults allow circulation of groundwater to penetrate deep below the surface and laterally into side walls. This can produce wall-rock alteration and result in deep seated weathering.
- Orientation of faults in relation to the tunnel line is vitally important, since this governs the length of tunnel affected by the fault and its accompanying fault zone.



Joints and Their Relevance to Tunneling

- Joints occur in sets. Multiple joints run essentially parallel to each other; two further sets of joints commonly exist and are often at consistent angles to each other.
- Joint systems in coarse grained igneous rocks (granite) commonly exhibit three sets of joints.
- Sedimentary rocks also exhibit three sets of joints with one usually parallel to the bedding planes, while others intersect the planes approx. at right angles.
- Joint spacings in limestone and sandstone beds are commonly meters apart while in shale they are usually closer.
- Two or more sets of joints also exist in metamorphic rocks and often one set is approx. at right angles to the cleavage planes.
- Joint spacing increases with depth below surface while the width of the joints decreases with depth.

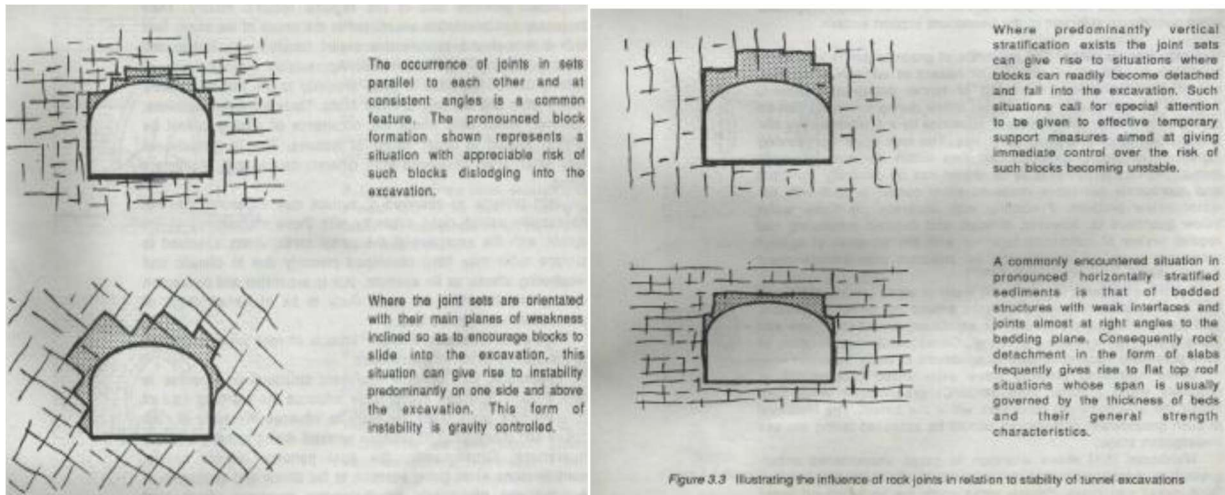


Figure 3.3 Illustrating the influence of rock joints in relation to stability of tunnel excavations

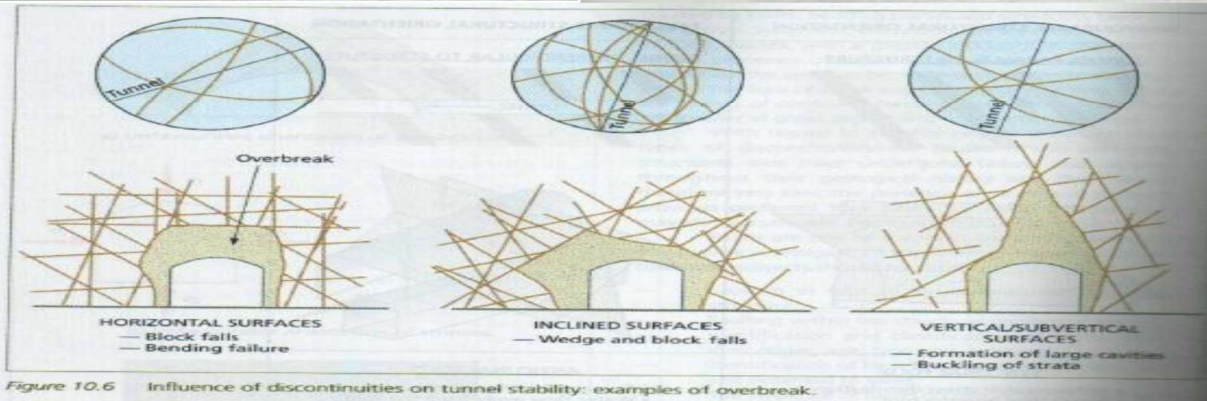


Figure 10.6 Influence of discontinuities on tunnel stability: examples of overbreak.

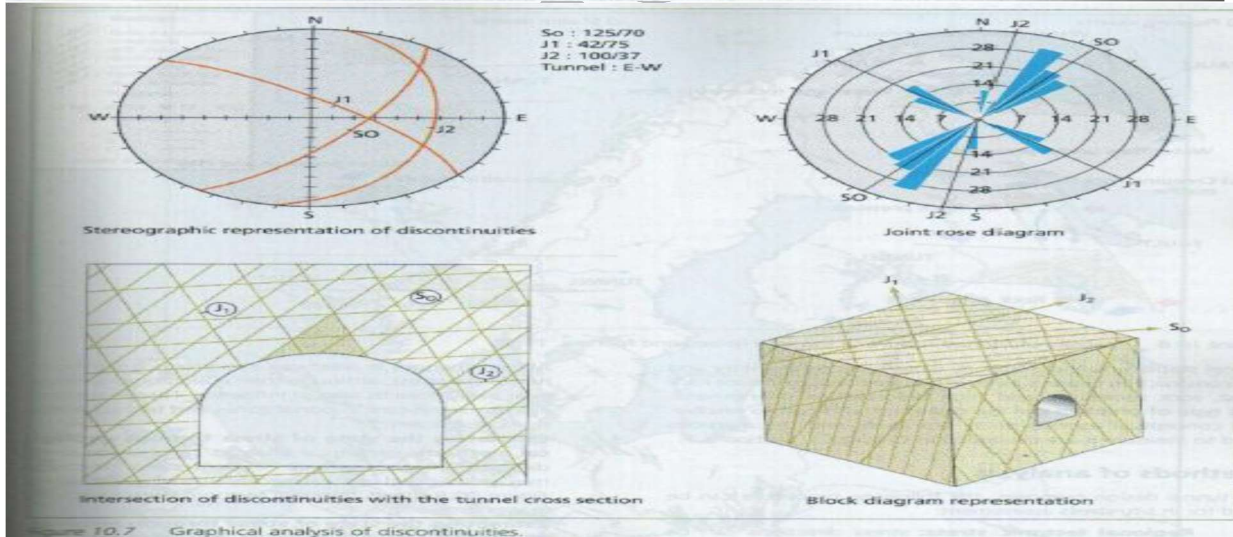


Figure 10.7 Graphical analysis of discontinuities.

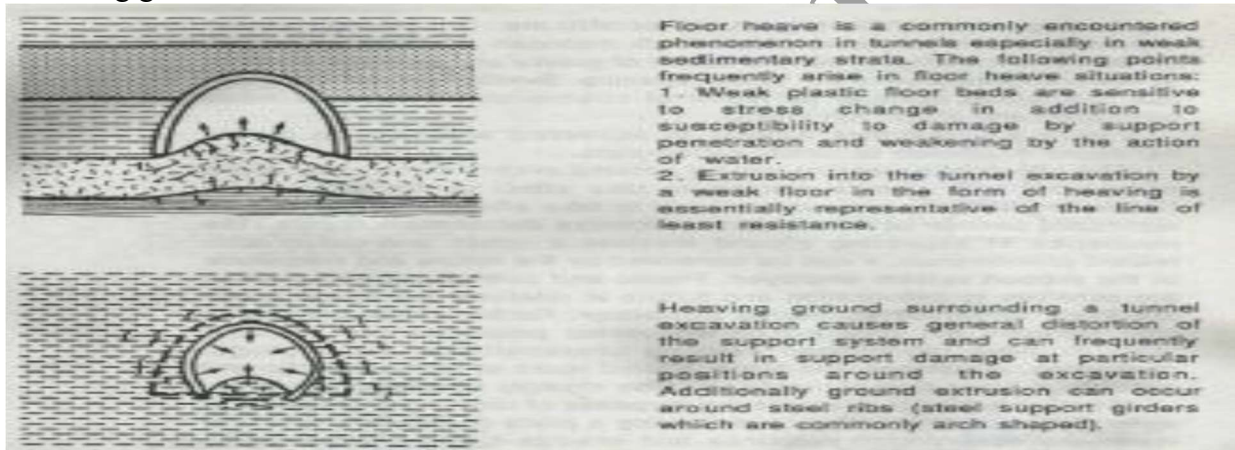
Groundwater Aspects

- Presence of groundwater in very large quantities is a major hazard in addition to causing operational difficulties in tunneling activities.
- Groundwater presence, quantity, quality, formations having water all must be identified at site investigation stage.

- Large quantities of water in weak ground conditions can lead to rapid formation of cavities around the tunnel excavation.
- Tunneling projects can experience relatively warm water ($> 30-35\text{ C}$) which can impair the environmental conditions within the tunnel.
- Groundwater could be a carrier of dissolved gases into the tunnel excavation.

Squeezing and Swelling Ground Conditions

- Squeezing ground commonly refers to weak, plastic rock material which displaces into the tunnel excavation under the action of gravity and from the effect of stress gradients around the tunnel opening.
- Swelling ground displaces into the tunnel opening as result of volume change due to water adsorption and absorption effects.
- Effects of squeezing ground become evident immediately during excavation, with closure starting to take effect at the tunnel face.
- Swelling ground is slower to take effect.



- Plastic and semi-plastic rocks which are sensitive to deformation at relatively low stress levels are likely to exhibit squeezing behavior.
- Rocks which are rich in clay minerals not only have squeezing properties but are also have pronounced swelling properties e.g. fault gouge, mudstones, claystones and highly altered rocks of pyroclastic and micaceous types.
- Some claystones disintegrate fairly quickly and increase their volume by more than 25%, generating a swelling pressure of up to 5.75 bar

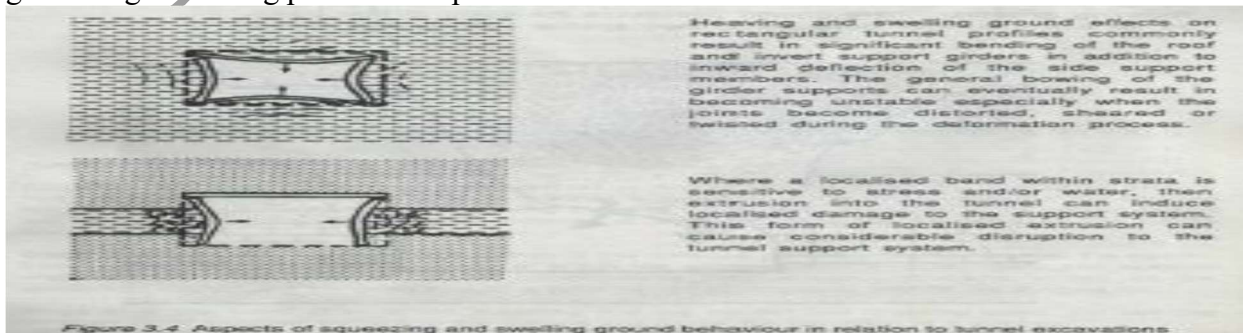
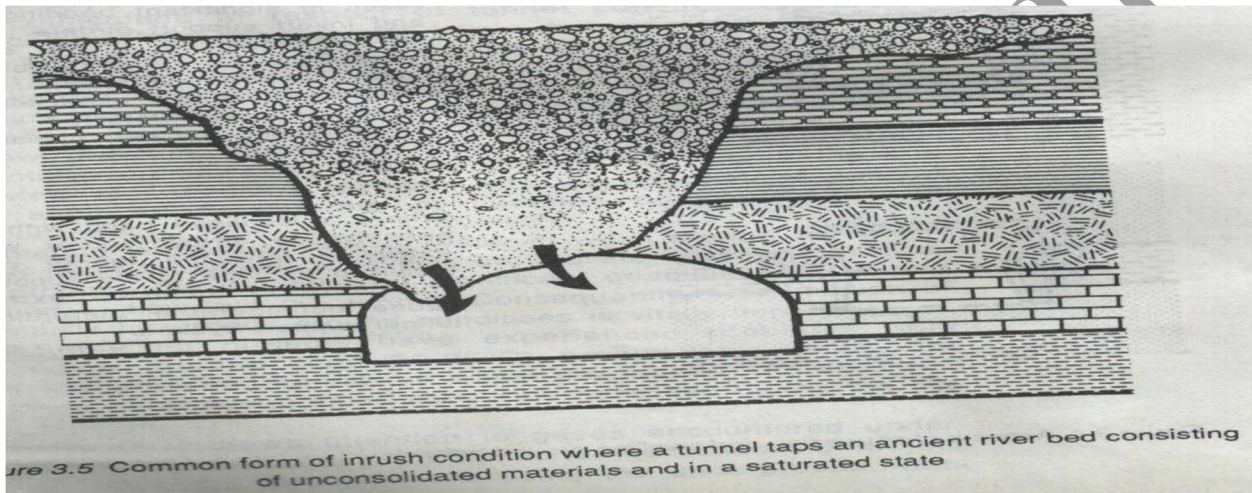


Figure 3.4 Aspects of squeezing and swelling ground behaviour in relation to tunnel excavations

Running Ground

- Running ground (which has the ability to flow freely e.g. loose sand) requires special support.
- Running ground in relatively dry state can be encountered in arid/hot countries where tunnel excavations tap unconsolidated deposits lying close to the surface.
- Generally running ground is saturated with water and presence of water can encourage liquefaction when disturbed by tunnelling activities.
- Progressive collapse and formation of cavities can tap major aquifers or overlying unconsolidated and saturated deposits (**chimney collapse**)



Stage 1: A major collapse of ground is shown to have occurred.

Stage 2: Temporary support has been erected to control ground falling into the tunnel excavation but as is shown here the collapse chimney is continuing to progress upwards; the collapse chimney would naturally become choked by virtue of the bulking properties of the broken ground but as is shown here the collapse chimney is likely to reach the overlying aquifer before becoming choked.

Stage 3: The condition shown here is that of the collapse chimney having reached the aquifer. This has resulted in the broken material within the chimney becoming saturated and possibly under a hydrostatic pressure head; consequently the increased pressure acting on the temporary support system gives rise to a potential inrush condition should the support fail.

Stage 4: In the event of an inrush occurring and the aquifer bed breaking down, the collapse chimney could progress through to the surface and cause the formation of a sinkhole.

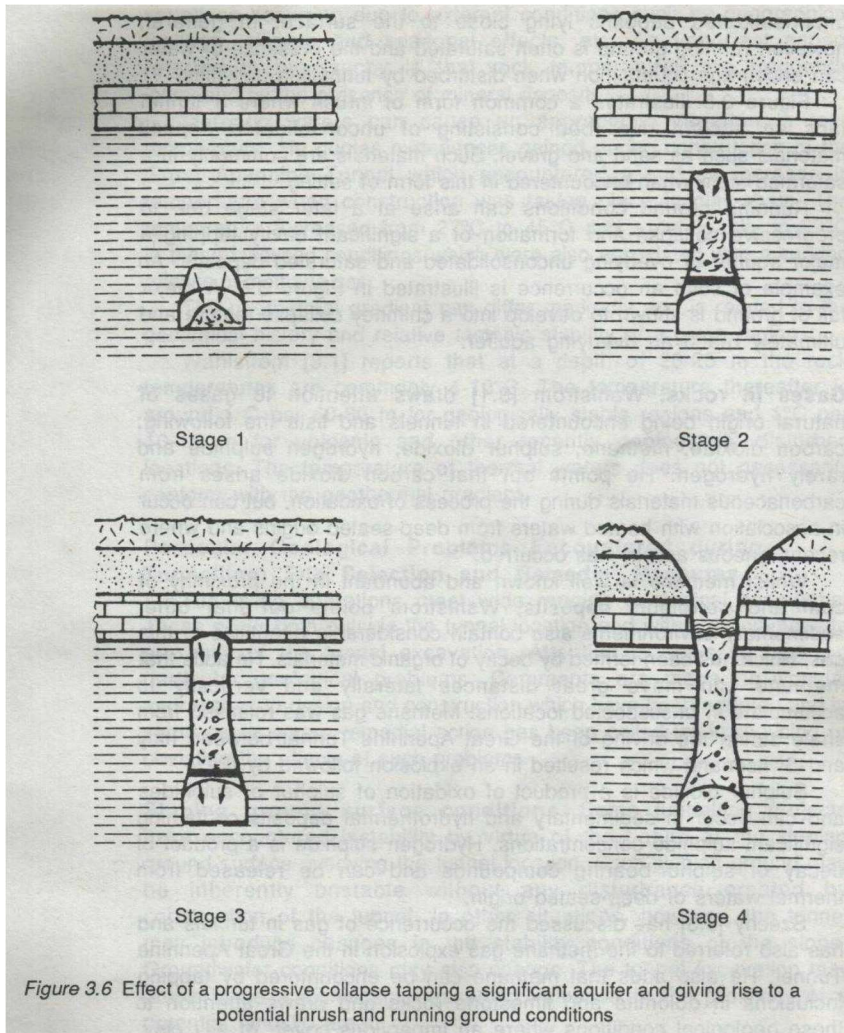


Figure 3.6 Effect of a progressive collapse tapping a significant aquifer and giving rise to a potential inrush and running ground conditions

Seismic

Group

Gases in Rocks

- Gases such as CO₂, CH₄, SO₂, H₂S and H₂ can be encountered in tunnels.
- CO₂ arises from carbonaceous materials during the process of oxidation, but can occur in association with heated waters from deep-seated origins and close to recent igneous activity.
- CH₄ is found in the proximity of coal and petroleum deposits. Other sedimentary environments also contain considerable quantities of this gas formed by the decay of organic matter. Shale as a source of methane has reported in the case of Apennine Tunnel b/w Italy and Switzerland.
- SO₂ and H₂S can occur in sedimentary and hydrothermal deposits.
- Methane can be encountered in dolomite and limestone rocks where an impervious clay layer may result in migration laterally of this gas through fissures in adjacent rocks.

Rock Temperatures

- Could be very high in certain tunnels
- Simplon tunnel experienced water whose temperature was 56°C at 2134 m below the surface.
- Rocks and groundwaters gain heat from several sources such as: Earth's crustal heat and cooling igneous bodies, deep-seated transmission of heat to groundwaters, volcanic and radioactive emanations.
- Active thermal springs are useful indicators of any abnormally high temperatures.
- Meteoric waters (from precipitation) can cause an appreciable variation in rock temperatures.
- In Great Apennine Tunnel (clay-shale) temperature increased from 27°C to 25°C and exceptionally to 63°C.
- At a depth of 20-25 m the rock temperatures are commonly 4-10°C. The temperature thereafter is around 1°C per 60-80 m for geologically stable regions and 1°C per 10-15 m for volcanic and other recently geologically disturbed locations.**